

# 270 NPA (KY) Final Jeopardy Procedures

## Introduction

This document describes Final Jeopardy Procedures for managing central office codes (NXXs) for the duration of the jeopardy condition in this NPA. The purpose of this document is to ensure that all pertinent information is readily available to current and potential code holders. These procedures attempt to provide...

- fair and equitable treatment for all segments of the telecommunications industry
- the most effective means of managing the limited number of unassigned NXXs
- a selection of NPA-specific options for local industry consideration

## Questions

Questions regarding the content of this document may be directed to either the NANPA CO Code Administrator or the NANPA NPA Relief Planner. (Refer to the NANPA Web site, [www.nanpa.com](http://www.nanpa.com), for specific contact information.)

## Introduction of New NPA

Planning Timeline	
Relief Milestones	Key Dates
Date on which this NPA was declared to be in Jeopardy	Jeopardy declared <b>8/31/06</b>
Estimated/Actual Permissive Dialing Period Begins (Overlay or Split)	<b>17</b> months from date jeopardy declared (Notes 1 & 2)
Estimated/Actual Mandatory Dialing of New NPA Begins (Overlay or Split)	<b>23</b> months from date jeopardy declared (Notes 1 & 2)

### Notes:

*Note 1: Target interval for NPA relief in order to calculate jeopardy allocation quantities*

*Note 2: Dates will be entered after receipt of relief plan decision from State Regulatory Commission*

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**Submitting Code Requests**

<b>Table A Key Dates and Requirements</b>		
Row	Key Points	Requirement
A.	Implementation of Final Jeopardy Procedures	Code Conservation allocation begins <b>October 1, 2006</b>
B.	Minimum quantity of codes available for assignment (“base allocation”):	<b>3 NXXs</b> assigned per month (See Note 1)
C.	Maximum requests that may be submitted per month (“monthly submissions”):	<b>3 requests</b> allowed per OCN/Entity (See Note 2)
D.	First day and time code requests will be accepted (“submission start date”):	Part 1 received no earlier than... <b>1<sup>st</sup> business day, 8:00 a.m., Eastern</b> (day determined by local NPA industry*)
E.	Last day and time code requests will be accepted (“submission deadline”):	Part 1 received no later than... <b>6<sup>th</sup> business day, 5:00 p.m., Eastern</b> (day and time established by NANPA**)
F.	Part 1 code requests should be submitted to NAS	<b>Questions should be directed to David.Morgan@neustar.com Phone: 571-434-5381</b>
G.	Requirements for participating in monthly allocation process:	Each request must meet all “Eligibility Requirements” (See Note 3)
H.	When and how applicants will be notified of the disposition of their code request(s):	Part 3 issued on or before the end of the <b>10<sup>th</sup> business day</b> (Eastern Time) after the submission deadline (Note 4)
I.	Process that will be used to allocate available codes:	Monthly allocation process is identified on Table B & Table C.
J.	Code effective date for requests receiving an NXX assignment:	Effective date no less than <b>52 calendar days</b> after NXX assigned (See Note 5)

\* “*Submission Start Date*” sets the “*submission interval*” (period during which requests will be accepted)

\*\* “*Submission Deadline*” is the date on which the industry-standard 66-day processing interval begins

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### Table A, Note 1 – “Base Allocation”:

- 1) Any part of a base allocation that is not assigned in an allocation month will carryover for assignment in the following month.
- 2) “Base allocation” is determined by dividing the number of codes available for assignment at implementation of these procedures by the estimated number of months remaining until the target start of mandatory dialing.
  - a) The base allocation quantity may be revised, upward or downward, depending upon the relief plan and schedule approved/ordered by the state Regulatory Commission.
  - b) The industry will reconvene via conference call approximately **12** months after these extraordinary measures have been implemented. The purpose will be to determine whether there is a need to adjust the base allocation figure and, if so, to what quantity.
- 3) In the event that NXXs are recovered during the jeopardy period, the “base allocation” will be recalculated to reflect the larger number of available codes.
  - a) The CO Code Administrator may adjust the monthly allocation as a result of code recovery without seeking industry consensus.
  - b) The CO Code Administrator will post on the NANPA Web site a notice of recalculated monthly allotment. It is the responsibility of each code applicant to check the NANPA Web site, [www.nanpa.com](http://www.nanpa.com), at least monthly for such notice.

### Table A, Note 2 – “Monthly Submissions”:

- 1) NXX codes will not be reserved.
- 2) Requests are to be specified as 1<sup>st</sup>, 2<sup>nd</sup>, up to “nth” choice (where “n” represents the maximum number of requests that may be submitted).
  - a) If an applicant submits multiple requests, but does not specify “choice,” the code administrator will assign 1<sup>st</sup>, 2<sup>nd</sup>, up to nth choice based on the sequence in which the requests were received by the NANPA.
  - b) If an applicant submits more than “n” code requests (the maximum permitted), only the first “n” received will be accepted; any additional requests received that calendar month will be denied.

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### **Table A, Note 3 – “Eligibility Requirements, Basic Data”:**

*A code request must meet all eligibility requirements outlined in the Central Office Code (NXX) Assignment Guidelines (COCAG), by the monthly “submission deadline” in order to be eligible to participate in that month’s code allocation process. Examples include, but are not limited to the following:*

- 1) The Part 1 code request must be complete and accurate.
  - a) A valid NPA/LATA association must be provided.
  - b) A valid Rate Center/NPA association must be provided.
  - c) On the requested effective date, the specified rate center must physically reside within the boundaries of the specified NPA.
  - d) If the requested rate center will be moving to a new NPA and the requested effective date falls within the NPA split permissive dialing period, then the new NPA must be specified.
- 2) The specified OCN (Operating Company Number) must be validly assigned to the applicant.
- 3) Each OCN submitting a code request must have a current NRUF on file for the NPA and Rate Center.
- 4) The requested effective date may be no more than 6 months after the “submission deadline.”
- 5) Requests for “initial ”codes must meet certification and network readiness requirements outlined in the COCAG and Requests for “growth” codes must meet Months to Exhaust and utilization requirements outlined in the COCAG.

### **Table A, Note 4 – “Notification of Disposition, Suspended and Denied Requests”**

Applicants will be notified via the Part 3 form of the disposition of their code request(s). The Part 3 will indicate a suspension into lottery or denial and the reason(s) for the denial.

### **Table A, Note 5 – “Code Effective Date”**

- 1) The Part 3 code effective date will reflect no less than 52 calendar days from the date on which the lottery is held (7 calendar days for AOCN processing and 45 calendar days for industry notification). 14 calendar days are for the CO Code processing time, which comprises the 66 days.
- 2) Expedited code effective dates will not be accepted.

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**Governing Principle for Code Allocation**

*In any given month, if eligible requests received by the Code Administrator prior to the submission deadline are less than or equal to the number of NXX codes available for assignment that month, then each request receives one NXX.*

The term “Code Allocation” refers to the means of determining which code requests will receive a CO code assignment in any given month. Lottery is one method of allocation.

**Code Allocation Process**

<b>Table B</b>		
<b>Code Allocation Process</b>		
<b>When the total number of <u>Eligible Requests</u> received by the submission deadline is...</b>	<b>Then available codes will be allocated each month in the following manner...</b>	<b>Remaining requests will be handled per local industry decision(s) specified in section 9.4.1</b>
<i>Equal To</i> the total number of codes available for assignment that month	Each request receives a NXX code assignment	No effect; each available code will be assigned
<i>Less Than</i> the total number of codes available for assignment that month	Each request receives a NXX code assignment	Remaining quantity of codes will carryover to following month

*Note: See Table C if the total number of eligible requests is Greater Than available codes*

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<b>Table C Code Allocation Process</b>				
<b>When <u>Eligible Requests are Greater Than</u> the number of available codes...</b>		<b>The following restrictions will apply...</b>	<b>Codes will be allocated in this manner...</b>	<b>And the effect on the following month will be...</b>
<b><i>If the total number of <u>OCNs submitting eligible Requests</u> is...</i></b>	<b><i>Equal To</i></b> codes available for assignment that month	2 <sup>nd</sup> - and 3 <sup>rd</sup> -choice code requests will be denied	Each OCN receives one NXX assigned to their 1 <sup>st</sup> -choice request	No effect; each available code will be assigned
	<b><i>Less Than</i></b> codes available for assignment that month	No restrictions	a) Each OCN receives at least one NXX assigned to their 1 <sup>st</sup> -choice request  b) Lottery will be used to determine which OCN(s) receive the remaining code(s)	Any unassigned code quantity will carryover to the following month
	<b><i>Greater Than</i></b> codes available for assignment that month	2 <sup>nd</sup> - and 3 <sup>rd</sup> -choice code requests will be denied	a) <b>Only eligible 1<sup>st</sup>-choice requests will participate in the allocation process</b>  b) Lottery will be used to determine which OCN(s) receive a code assignment  c) Some OCNs will receive an NXX; others will not	<b>Industry Option;</b> Refer to details in “Priority Numbers Option” below

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### **Local Industry Options**

#### **Local industry options for number allocations and Set Asides for Pooling Administrator:**

- 3 NXX codes will be set aside for the Pooling Administrator. When the number of set aside codes drops to 1 or less, NANPA will reconvene the industry to set aside additional codes.

#### **Disposition of unfilled Request Options:**

- Code requests that do not receive a code allocation in the current month's allocation will be held over to the next month's allocation and the SP/PA does not need to submit a new application. NANPA will advance the choice level (see section 9.5.2) of these requests in the next lottery to the next highest choice, unless notified to the contrary by the SP/PA.

#### **Use of Priority Numbers Options:**

- Priority numbers will NOT be assigned.

### **Modifications to These Procedures**

These procedures will be modified in the following circumstances:

- 1) If, during Industry relief planning meetings, consensus is reached to add, modify or delete specific dates and intervals identified on Table A.
- 2) If the State Commission NPA Relief Order specifies implementation dates that are different from those initially proposed by the industry relief planning team.
- 3) NANPA rescinds jeopardy